#### Chapter 11

#### **Topics: Controlling Microorganisms**

- Physical Control
- Chemical control

#### An overview of the microbial control methods.

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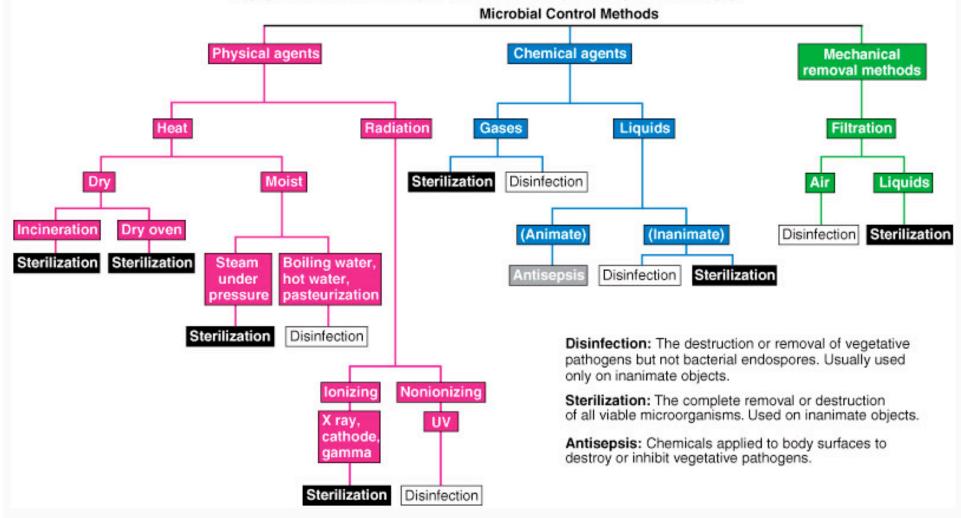


Fig. 11.1 Microbial control methods

### **Controlling Microorganisms**

- Microbial agents
- Terms
- Effectiveness
- Mode of action

#### **Microbial agents**

- -static agents
- -cidal agents

#### ~ static agents

 Temporarily preventing the growth of microbes

Stasis and static: to stand still

- Bacteri(o)static: prevent the growth of bacteria
- Fungistatic: inhibit fungal growth
- Microbistatic: materials used to control microorganisms in the body, for example

#### ~ cidal agents

• Killing or destroying a microorganism

*cide*: to kill

- Bacteri(o)cide: chemical that destroys bacteria (not endospores)
- Fungicide: a chemical that kills fungal spores, hyphae and yeast cells
- Virucide: a chemical that destroys viruses
- Sporicide: can destroy bacterial endospores
- Germicide and microbicide: chemical agents that kill microorganisms

### **Controlling Microorganisms**

Microbial agents

### • Terms

- Effectiveness
- Mode of action

#### Terms

- **Resistance -** to destruction by agents
- Sterilization the destruction of all life
- **Disinfection -** removal of live from inanimate surfaces
- Antisepsis reducing contamination on a living surface
- Decontamination reducing microbial count
  - De-germination
  - Sanitation

#### Resistance against agents

- Highest resistance bacterial spores and prions
- Moderate resistance some bacteria, protozoan cysts, fungal sexual spores, naked viruses
- Least resistance most bacteria, fungal nonsexual spores and hyphae, enveloped viruses, yeast, protozoan trophozoites

Comparing the resistance between endospores and vegetative cells to control agents.

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# TABLE 11.1Relative Resistance of Bacterial<br/>Endospores and Vegetative Cells<br/>to Control Agents

| Method                                      | Endospores*         | Vegetative<br>Forms* | Relative<br>Resistance** |
|---|---------------------|----------------------|--------------------------|
| Heat (moist)<br>Radiation (X-ray)<br>dosage | 120°C<br>4000 Grays | 80°C<br>1000 Grays   | $1.5 \times 4 \times$    |
| Sterilizing gas<br>(ethylene oxide)         | 1,200 mg/1          | 700 mg/1             | $1.7 \times$             |
| Sporicidal liquid<br>(2% glutaraldehyde)    | 3 h                 | 10 min               | $18 \times$              |

\*Values are based on methods (concentration, exposure time, intensity) that are required to destroy the most resistant pathogens in each group.

\*\*The greater resistance of spores versus vegetative cells given as an average figure.

Table 11.1 Relative resistance of bacterial endospores and vegetative cells

#### **Sterilization**

- A process that destroys or removes all forms of live (usually from inanimate objects) including viable microorganisms (including spores) and viruses
- Physical (usually heat) or chemical agents (sterilants)
- Inanimate objects
  - Surgical instruments,
  - commercially packaged foods

#### Disinfection

- Use of physical process or chemical agent (disinfectant) to destroy vegetative pathogens.
- Usually used only on inanimate objects
- Also removes toxins
- Does not eliminate bacterial endospores

#### Antisepsis

- When chemical agents (antiseptics) destroy or inhibit vegetative pathogens from animate surfaces
  - Skin and mucous membranes
- Sepsis: the growth of microorganisms in the blood and other tissues
- Asepsis: any practice that prevents the entry of infectious agents into sterile tissues

#### **Decontamination**

- Used when actual sterilization isn't needed but need to decrease the risk of infection or spoilage (ex. food industry)
  - Sanitization
  - **Degermation**

#### **De-germination**

- Reduces the numbers of microbes on the human skin (ex. alcohol wipes)
- Physical and chemical agents

#### **Sanitation**

- Any cleansing technique that mechanically removes microorganisms (or substratum that could support their growth) to reduce contamination to safe levels
- **Sanitizer**: compound such as soap or detergent that sanitizes
- Sanitary: may not be free from microbes but are safe for normal use
- Physical chemical agents

#### Practical Concerns in Microbial Control

- Does the application require sterilization, or is disinfection adequate?
- Is the item to be reused or permanently discarded?
- If it will be reused, can it withstand heat, pressure, radiation, or chemicals?
- Is the control method suitable for a given application?
- Will the agent penetrate to the necessary extent?
- Is the method cost- and labor-efficient, and is it safe?

### **Controlling Microorganisms**

- Microbial agents
- Terms

- Effectiveness
- Mode of action

#### What is Microbial Death?

- When various cell structures become dysfunctional and the entire cell sustains irreversible damage
- If a cell can no longer reproduce under ideal environmental conditions
- Death begins when a certain threshold of microbicidal agent is met, and continues in a logarithmic manner

#### **Effectiveness**

- Number of microorganisms
- Targets (bacteria, fungi, spores, viruses)
- Temperature and pH
- Concentration of agent
- Mode of action
- Interfering agents (solvents, debris, saliva, blood, feces)

#### Factors that influence the effectiveness of antimicrobial agents.

Log of Number of Viable Cells Log of Number of Viable Cells 109 High load 10<sup>9</sup> 107 10<sup>5</sup> 107 105 10<sup>3</sup> Low 10<sup>3</sup> 10<sup>1</sup> load 10-1 10<sup>1</sup> 10-3  $10^{-1}$ 10-5 10-3 Sterilization 10-5 2 8 10 12 14 16 18 20 10 4 6 14 Time for Sterilization Time (Minutes) (a) (b) Agent added Log of Number of Viable Cells Log of Number of Viable Cells 10<sup>9</sup> 10<sup>9</sup> Cells still capable of growth (if microbistatic 107 107 Spores agent is removed) 105 10<sup>5</sup> Microbistatic agent  $10^{3}$  $10^{3}$ 10<sup>1</sup> 10<sup>1</sup> 10-1  $10^{-1}$ Microbicidal agent Vegetative cells  $10^{-3}$ 10-3 10-5 10-5

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Fig. 11.2 Factors that influence the rate at which Agents are killed by antimicrobial agents (picture does not address virus).

(d)

Time

->

(c)

Time →

21

## Mode of action

- Cell wall
- Cell membrane
- Nucleic acid synthesis
- Protein synthesis
- Protein function

## Cell wall

- Agent
  - Penicillin (Cephalosporin), Vancomycin, Bacitracin,
  - detergents, alcohols
- Bacteria and fungi
  - Block synthesis
  - Degrade cellular components
  - Destroy or reduce stability

### Membrane

- Agents:
  - Nisin, Gramicidin, Polymyxin
  - Surfactants
- All microbes and enveloped viruses
  - Disruption of membrane function (pores)
  - Bind and penetrate lipids
  - Lose selective permeability (leakage)

# The effect of surfactants on the cell membrane. Copyright @ The McGraw-Hill Companies, Inc. Permission required for reproduction or display. Surfactant molecules Membrane lipids Cytoplasm Cytoplasm

Fig. 11.3 Mode of action of surfactants

## Mode of action

- Cell wall
- Cell membrane

### Nucleic acid synthesis

- Protein synthesis
- Protein function

#### **Nucleic acid synthesis**

- Agent:
  - Chemical agent
    - formaldehyde; Trimethoprim, Novobiocin, Nalidixic Acid, Rifamp(ic)in
  - Physical agent
    - radiation
- Action:
  - Mutations: Irreversible injury to DNA
  - Inhibition of nucleotide biosynthesis
  - Inhibition of DNA-gyrase
  - Inhibition of DNA-polymerase
  - Inhibition of RNA-polymerase
- Consequence: Stop of replication, transcription and (eventually) translation

## Mode of action

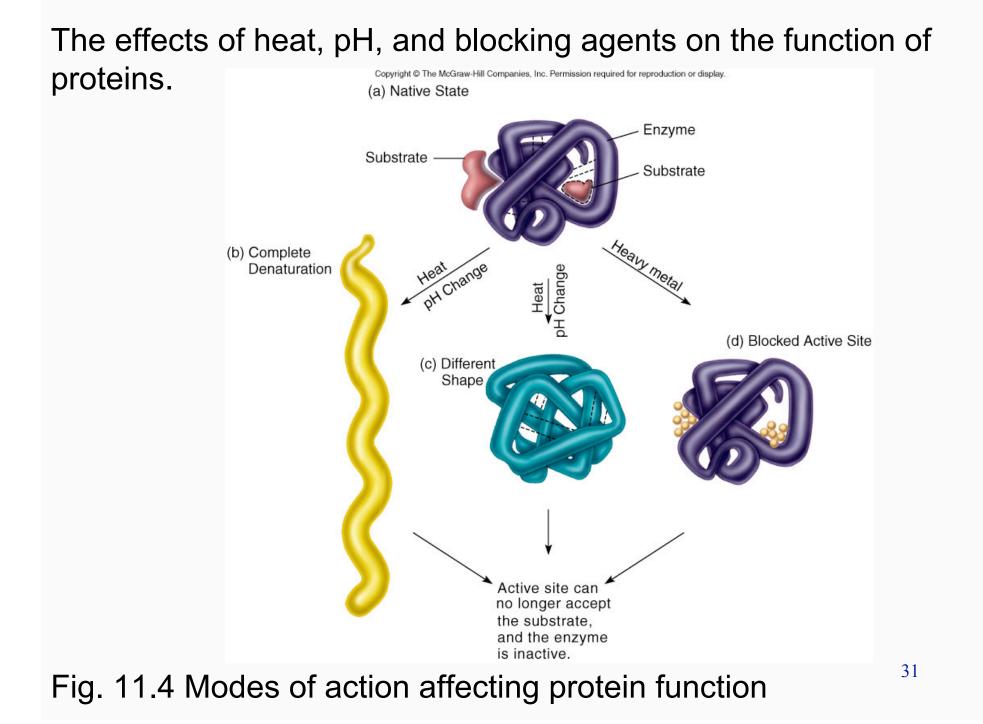
- Cell wall
- Cell membrane
- Nucleic acid synthesis
- Protein synthesis
  Protein function

### **Protein synthesis**

- Agents:
  - Chloramphenicol, Tetracyclines\*, Aminoglycosides; Macrolides, Puromycin
- bind to ribosomes (30S subunit, 50S subunit)
  - Stops initiation, elongation\* or termination
  - Prevents peptide bond formation

## **Protein function**

- Agent
  - Physical Heat, pH change
  - Chemical alcohols, acids, phenolics, metallic ions
- Block protein active sites
- Prevent binding to substrate
- Denature protein



## **Physical Control**

#### Heat

- Radiation
- Filtration

### Heat - Mode of action

- Moist heat
  - Coagulation of proteins
  - Denaturation of proteins
- Dry heat
  - Dehydration
  - Denaturation
  - Oxidation (burning to ashes)
- Thermal death time

## Moist heat

- Steam and pressure
- Tyndallization
- Pasteurization
- Boiling water

### **Steam and pressure**

- Pressure above normal atmospheric pressure will result in temperatures above 100°C
- Effectively destroys spores
- Sterilizes inanimate objects (glassware)
- Ex. Autoclave and home pressure cooker

#### A diagram of an autoclave.

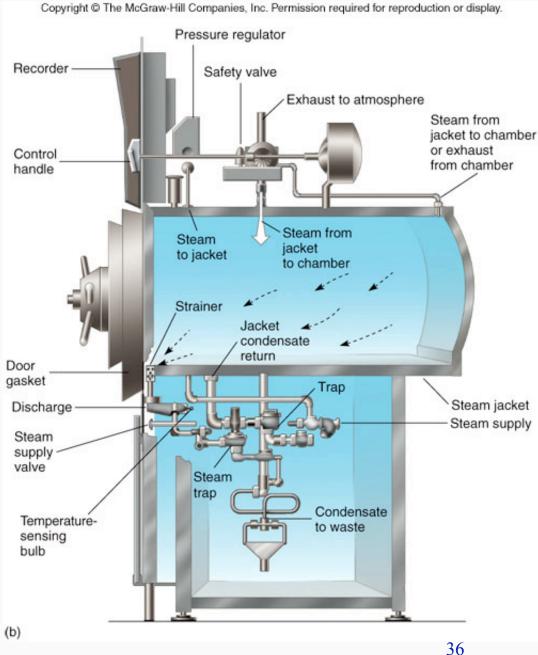


Fig. 11.5 Steam sterilization with the autoclave

### Tyndallization

- Intermittent sterilization
- Used for heat-sensitive media, canned foods
- Will not destroy spores
- Ex. Exposure to free-flowing steam for 30 to 60 minutes

#### Pasteurization

- Disinfection of beverages
- Exposes beverages to 71.6 °C for 15 seconds
  - Stops fermentation
- Prevents the transmission of milk-borne diseases
  - Salmonella, Campylobacter, Listeria, Mycobacteria
- Examples: Milk industry, wineries, breweries

### **Boiling water**

- Decontaminates at 100 °C for 30 minutes
- Kills most non-spore forming pathogens
- Examples: home sanitizing and disinfecting, disinfecting unsafe water

# **Dry heat**

- Hot air
- Incineration
- Temperature and time of exposure is greater than moist heat

# Hot air

- Hot air
  - Oven
  - Effective at 150°C to 180°C for 2-4 hrs
  - Effective for inanimate objects and oils

# Incineration

- Destroys microbes to ashes or gas
  - Flame 1870°C
  - Furnace 800°C to 6500°C

#### An infrared incinerator uses flame to burn or oxidize materials into

ashes.

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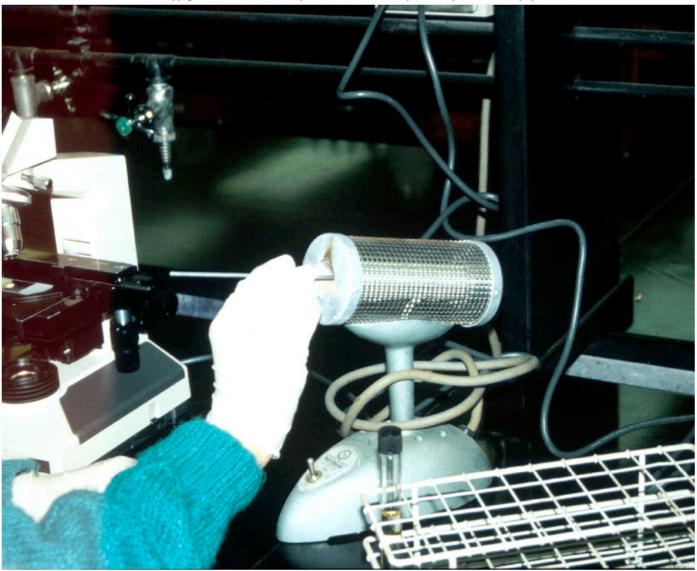


Fig. 11.6 Dry heat incineration

### Dry Oven

- Usually an electric oven
- Coils radiate heat within an enclosed compartment
- Exposure to 150°C to 180°C for 2 to 4 hours
- Used for heat-resistant items that do not sterilize well with moist heat

# Effects of cold and desiccation

- Cold temperatures reduce the activity of some microbes, but NOT psychrophiles
   – Not a disinfection or sterilization method
- Desiccation or dehydration kill some microorganisms
  - Lyophilization freezing and drying method used to preserve microbes

### **Physical Control**

• Heat

## Radiation

• Filtration

# Radiation

- Types of radiation
- Modes of action
- Applications

### **Types of radiation**

- Ionizing
  - Gamma rays (High energy)
  - X-rays (Intermediate energy)
  - Cathode rays (least energy)
- Non-ionizing
  - Ultraviolet

### Mode of actions

- Ionizing radiation ejects orbital electrons from an atom
  - High energy
    - Penetrates liquids and solids effectively
- Non-ionizing radiation raises atoms to a higher energy state
  - Low energy
    - Less penetration capability
    - Pyrimidine dimers

#### The effects of ionizing and non-ionizing radiation on DNA.

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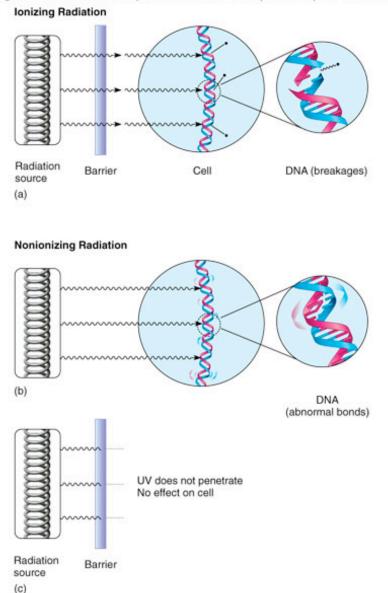


Fig. 11.7 Cellular effects of irradiation

# Ultraviolet (UV) radiation can cause the formation of pyrimidine dimers on DNA.

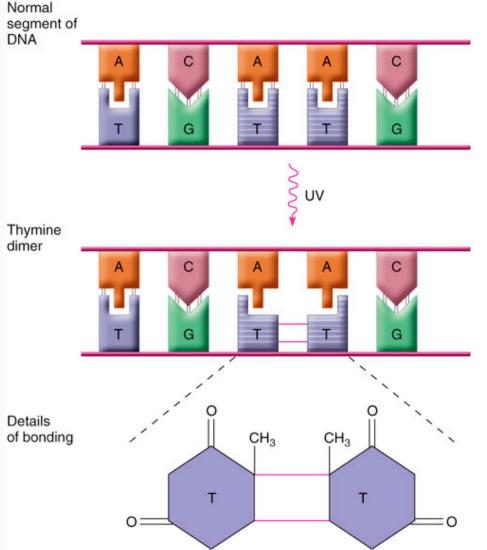


Fig. 11.9 Formation of pyrimidine dimers by the action of UV radiation.

#### Applications

- Ionizing radiation
  - Alternative sterilization method
  - Materials sensitive to heat or chemicals
  - Some foods (fruits, vegetables, meats)
- Non-ionizing radiation
  - Alternative disinfectant
  - Germicidal lamp in hospitals, schools, food preparation areas (inanimate objects, air, water)

# A gamma radiation machine (ionizing radiation) used to sterilize fruits, vegetables, meats, fish, and spices.

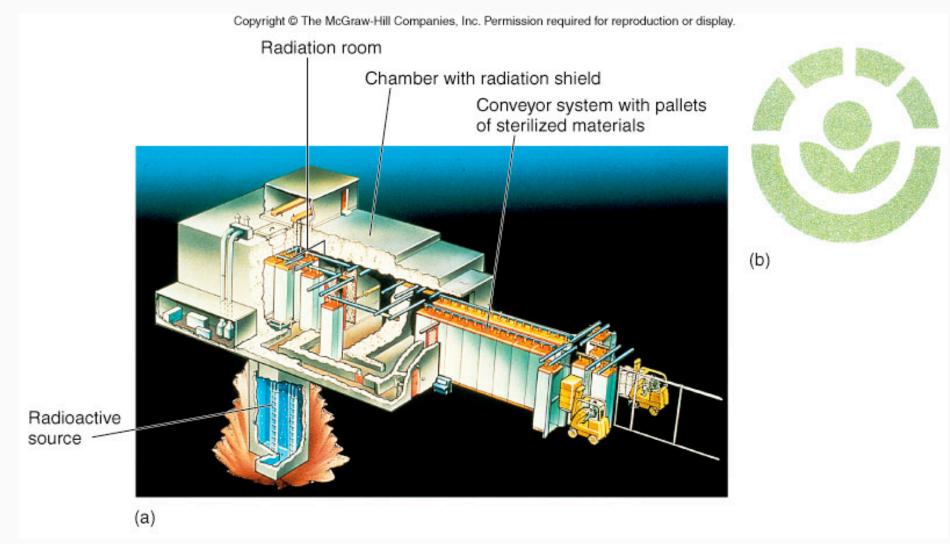


Fig. 11.8 Sterilization with Ionizing Radiation

#### A UV treatment system can be used to disinfect water.

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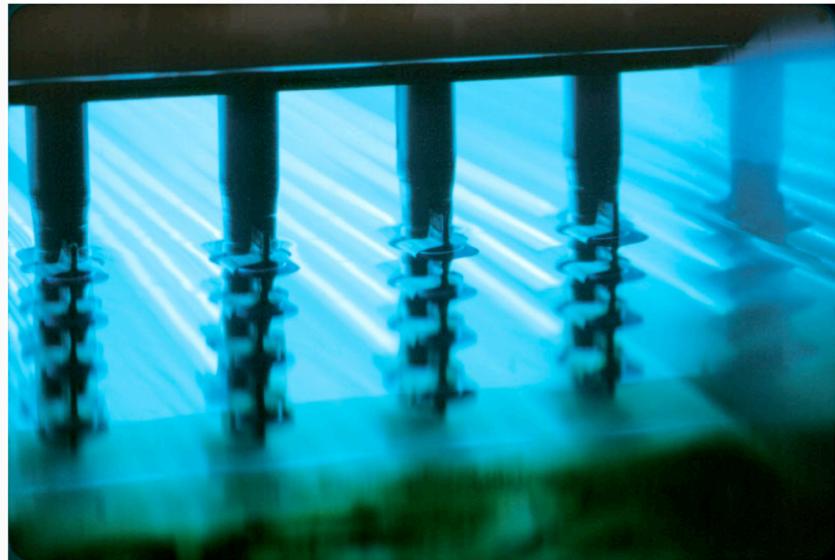


Fig. 11.10 A UV treatment system for disinfection of water

# **Physical Control**

- Heat
- Radiation

### Filtration

# Filtration

- Removes microbes and spores from liquids and air
- Perforated membrane
  - Pore sizes vary
- Applications
  - Liquids that are sensitive to heat
    - Serum, vaccines, media

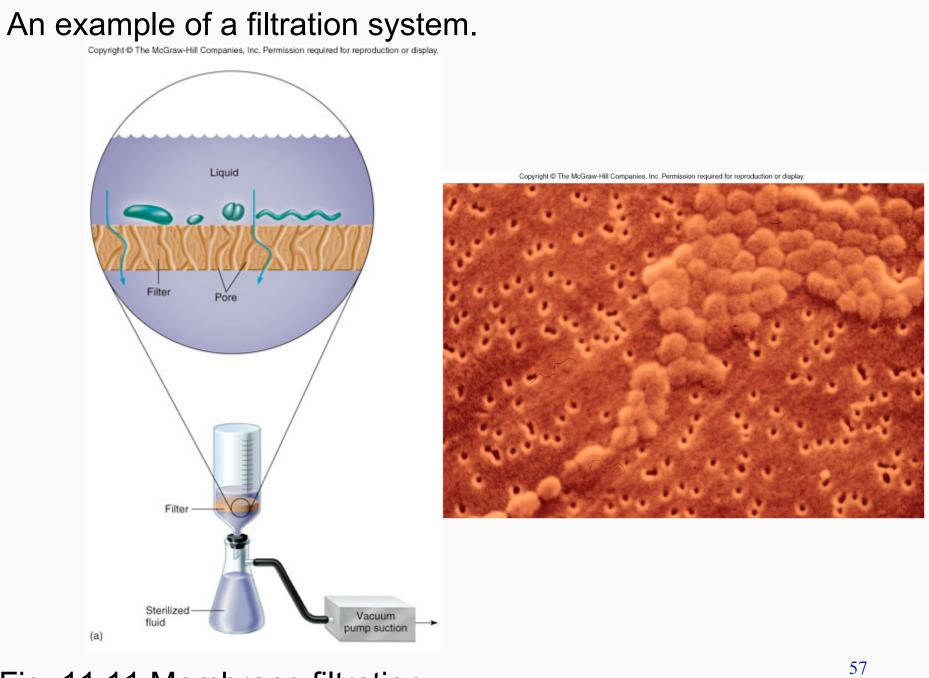


Fig. 11.11 Membrane filtration

# **Chemical control**

 Widely used agents and their Applications

# Example of chemical agents, their target microbe, level of activity, and toxicity.

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| TABLE 11.5       Qualities of Chemical Agents Used in Health Care |   |                      |   |  |  |
|---|---|----------------------|---|--|--|
| Agent   | Target Microbes   | Level of Activity    | Toxicity  | Comments   |  |
| Chlorine  | Sporicidal (slowly)                                     | Intermediate         | Gas is highly toxic;  | Inactivated by organics;   |  |
| entorme   | Sponetaal (slowiy)                                      | Intermediate         | solution irritates skin   | unstable in sunlight   |  |
| Iodine  | Sporicidal (slowly)                                     | Intermediate         | Can irritate tissue; toxic<br>if ingested                           | Iodophors* are milder<br>forms   |  |
| Phenolics   | Some bacteria, viruses,<br>fungi                        | Low to intermediate  | Can be absorbed by skin;<br>can cause CNS damage                    | Poor solubility; expensive   |  |
| Alcohols  | Most bacteria, viruses,<br>fungi                        | Intermediate         | Toxic if ingested; a mild<br>irritant; dries skin                   | Flammable, fast-acting   |  |
| Hydrogen peroxide,*<br>stabilized                                 | Sporicidal  | High                 | Toxic to eyes; toxic if<br>ingested                                 | Improved stability; works<br>well in organic matter                        |  |
| Quaternary ammonium<br>compounds                                  | Some bactericidal,<br>virucidal, fungicidal<br>activity | Low                  | Irritating to mucous<br>membranes; poisonous if<br>taken internally | Weak solutions can<br>support microbial growth;<br>easily inactivated      |  |
| Soaps   | Certain very sensitive<br>species                       | Very low             | Nontoxic; few if any<br>toxic effects                               | Used for removing soil,<br>oils, debris                                    |  |
| Mercurials  | Weakly microbistatic                                    | Low                  | Highly toxic if ingested,<br>inhaled, absorbed                      | Easily inactivated   |  |
| Silver nitrate  | Bactericidal  | Low                  | Toxic, irritating   | Discolors skin   |  |
| Glutaraldehyde*   | Sporicidal  | High                 | Can irritate skin; toxic if<br>absorbed                             | Not inactivated by organic<br>matter; unstable                             |  |
| Formaldehyde  | Sporicidal  | Intermediate to high | Very irritating; fumes<br>damaging, carcinogenic                    | Slow rate of action;<br>limited applications                               |  |
| Ethylene oxide gas*   | Sporicidal  | High                 | Very dangerous to eyes,<br>lungs; carcinogenic                      | Explosive in pure state;<br>good penetration;<br>materials must be aerated |  |
| Dyes  | Weakly bactericidal,<br>fungicidal                      | Low                  | Low toxicity  | Stains materials, skin   |  |
| Chlorhexidine*  | Most bacteria, some<br>viruses, fungi                   | Low to intermediate  | Low toxicity  | Fast-acting, mild, has<br>residual effects                                 |  |

\*These chemicals approach the ideal by having many of the following characteristics: broad spectrum, low toxicity, fast action, penetrating abilities, residual effects, stability, potency in organic matter, and solubility.

Table 11.5 Qualities of chemical agents used in health care <sup>59</sup>

### **Applications**

- Halogens
- Phenolics
- Surfactants
- Hydrogen peroxide
- Detergents and soaps
- Heavy metals
- Aldehydes
- Gases
- Dyes, acids, and alkalis

### Halogens

#### Chlorine

Disinfectant and antiseptic

• Disrupt sulfhydryl groups in amino acids

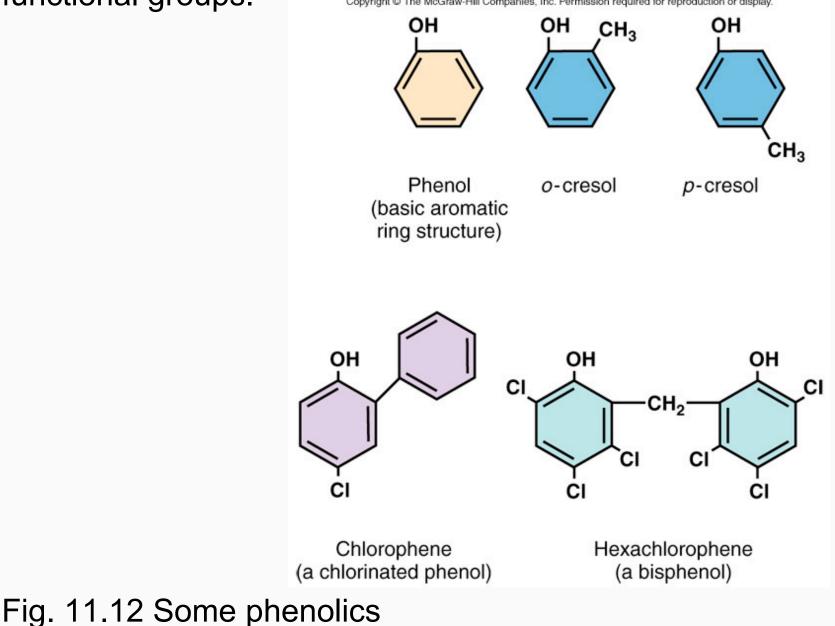
#### Iodine

- Topical antiseptic
  - Disruption is similar to chlorines

#### **Phenolics**

- Vary based on functional groups attached to the aromatic ring
- Examples: Hexachlorophene, Triclorsan
  - Microcidal
  - Ingredient in soaps to kitty litter
    - Disrupts cell walls and membranes,

# Phenolics contain a basic phenolic aromatic ring with different functional groups.



63

#### Alcohols

- Ethyl alcohol, isopropyl (rubber alcohol)
  - 70% concentration dissolve membrane lipids, disrupt cell surface tension, denatures proteins
- Germicidal and skin de-germing

### Hydrogen peroxide

- Colorless and caustic liquid
- Form hydroxyl free radicals
   Effective against anaerobes
- Skin and wound cleaner
- Quick method for sterilizing medical equipment

#### Examples of different devices used to sterilize medical equipment.

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Fig. 11.13 Sterile processing of invasive equipment protects patients.

#### **Detergents and soaps**

- Quaternary ammonium (quats)
  - Cationic
  - Bind and disrupt cell membrane
  - Low-level disinfectant in the clinical setting
- Soaps
  - Fatty acids, oils, sodium or potassium salts
  - Cleaning agents
  - More effective if mixed with germicides

# For detergents, the positive charge region binds bacteria and the uncharged region integrates into the cell membrane.

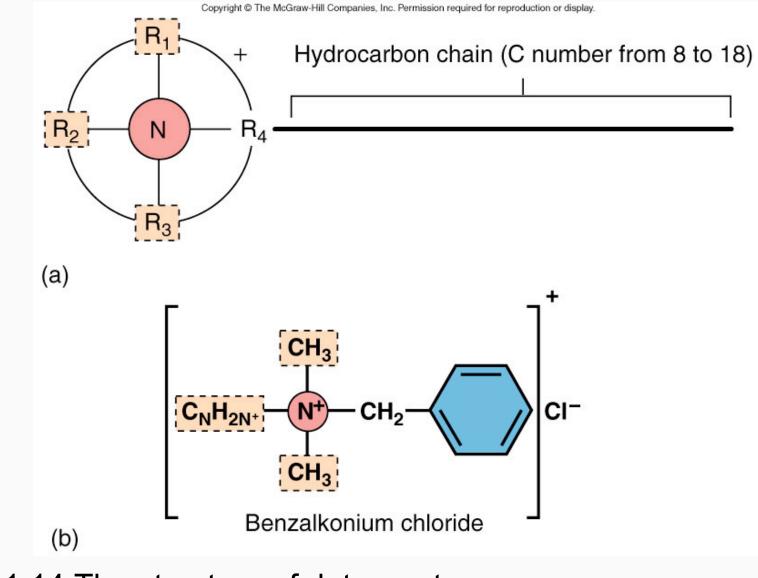
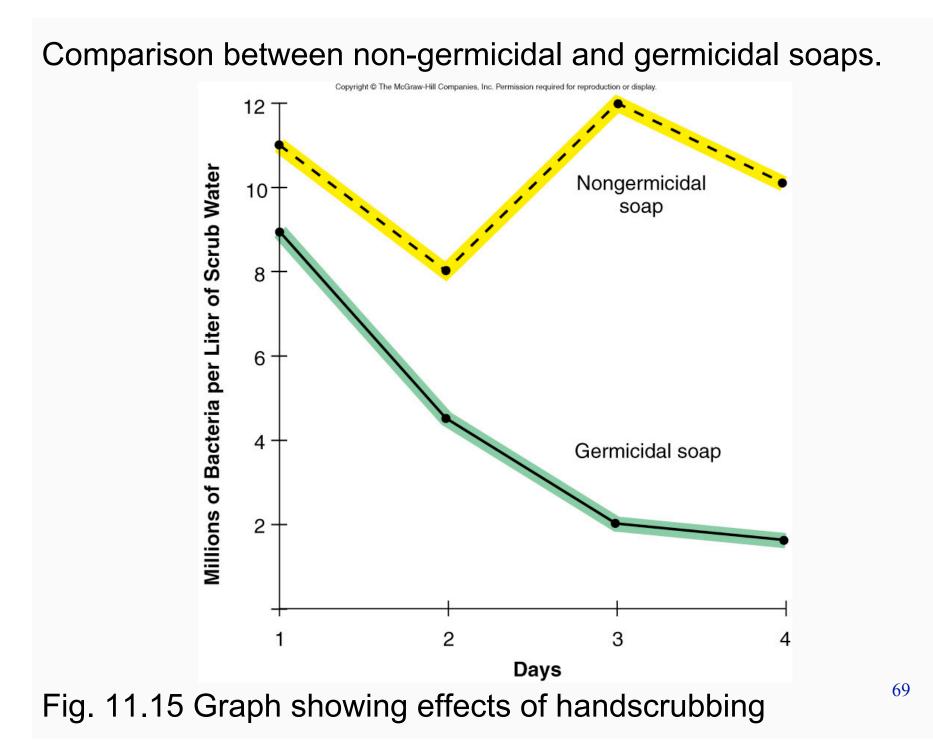


Fig. 11.14 The structure of detergents

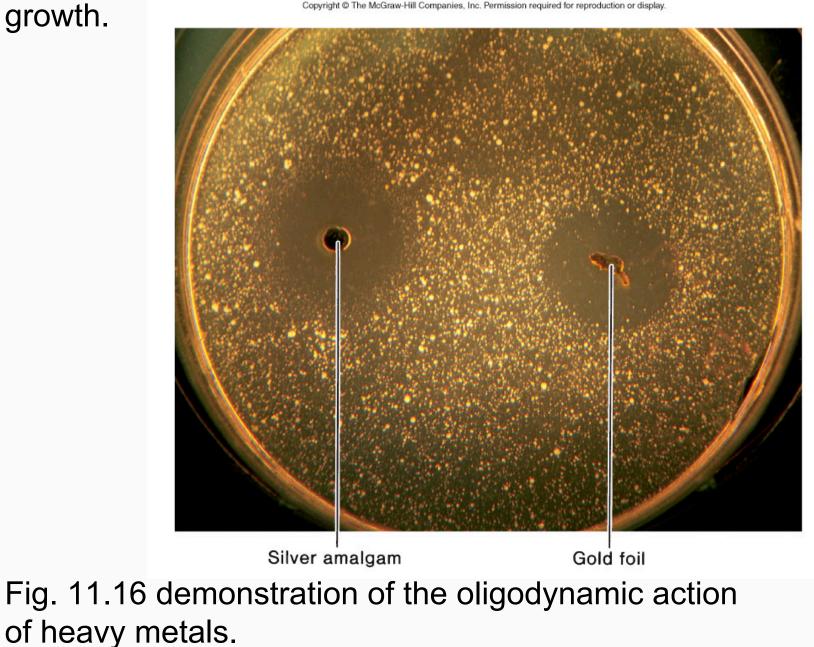


#### Heavy metals

- Mercury, silver,
  - Inactivate proteins
  - Preservatives in cosmetics and ophthalmic solutions

#### Demonstration of the effects silver and gold have on microbial

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### Aldehydes

- Glutaraldehyde
  - Crosslink with proteins on the cell surface
  - Disinfectant for surgical instruments

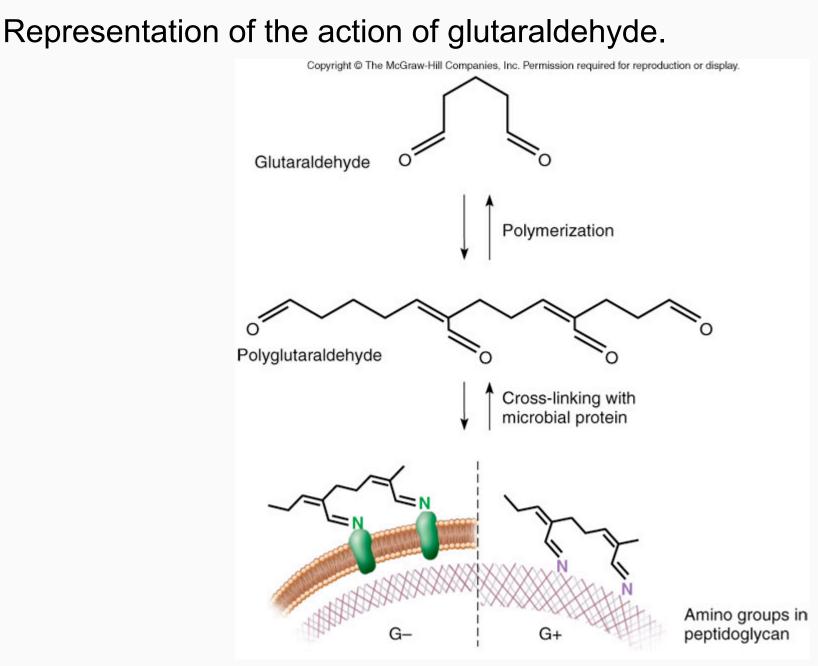


Fig. 11.17 Actions of glutaraldehyde

#### Gases

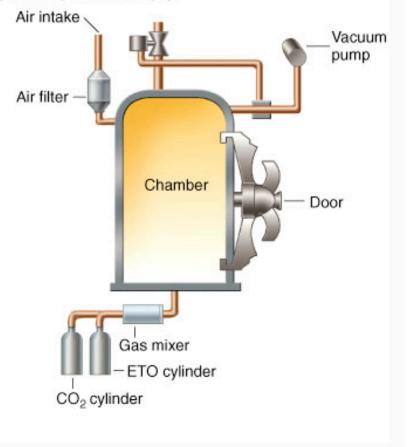
- Ethylene oxide
  - Reacts with functional groups of DNA and proteins
  - Sterilizes and disinfects plastic materials

#### Examples of different devices that use gas to sterilize equipment.

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(b)





#### Fig. 11.18 Sterilization using gas

### Dyes

- Crystal violet
- Effective against Gram positive bacteria
- Ointments

#### Acids and alkalis

- Acetic acid
- Ammonium hydroxide
- Prevents spore germination and vegetative growth
- Food preservative

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#### TABLE 11.5 Active Ingredients of Various Commercial Antimicrobial Products

| Product                                 | Specific Chemical Agent                             | Antimicrobial Category    |
|---|---|---------------------------|
| Lysol Sanitizing Wipes                  | Dimethyl benzyl ammonium chloride                   | Detergent (quat)          |
| Clorox Disinfecting Wipes               | Dimethyl benzyl ammonium chloride                   | Detergent (quat)          |
| Tilex Mildew Remover                    | Sodium hypochlorites                                | Halogen                   |
| Lysol Mildew Remover                    | Sodium hypochlorites                                | Halogen                   |
| Ajax Antibacterial Hand Soap            | Triclosan   | Phenolic                  |
| Dawn Antibacterial Hand Soap            | Triclosan   | Phenolic                  |
| Dial Antibacterial Hand Soap            | Triclosan   | Phenolic                  |
| Lysol Disinfecting Spray                | Alkyl dimethyl benzyl ammonium saccharinate/ethanol | Detergent (quats)/alcohol |
| ReNu Contact Lens Solution              | Polyaminopropyl biguanide                           | Chlorhexidine             |
| Wet Ones Antibacterial Moist Towelettes | Benzethonium chloride                               | Detergents (quat)         |
| Noxzema Triple Clean                    | Triclosan   | Phenolic                  |
| Scope Mouthwash                         | Ethanol   | Alcohol                   |
| Purell Instant Hand Sanitizer           | Ethanol   | Alcohol                   |
| Pine-Sol                                | Phenolics and surfactant                            | Mixed                     |
| Allergan Eye Drops                      | Sodium chlorite                                     | Halogen                   |